

SEP 1-7 2002
PATENT & TRADEMARK OFFICE

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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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2131
#6
BT
9-27-02

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number

10/007,339

Filing Date

October 26, 2001

First Named Inventor

Peterka, Pet

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Group Art Unit

2131

SEP 20 2002

Examiner Name

Total Number of Pages in This Submission

7

Attorney Docket Number

018926-0022016 Technology Center 2100

ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers
(for an Application)

Drawing(s)

Licensing-related Papers

Petition Routing Slip (PTO/SB/69) and Accompanying Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s)

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

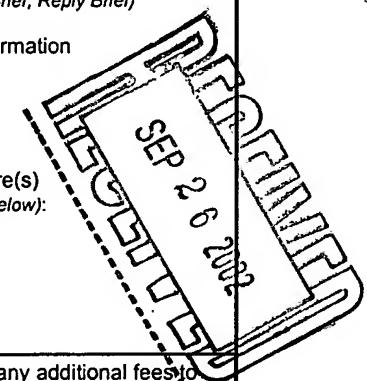
Status Letter

Other Enclosure(s)
(please identify below):

Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.



SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

William F. Vobach

Reg. No. 39,411

Signature

Date

September 11, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

September 11, 2002

Typed or printed name

Kay Barclay

Signature

Date

September 11, 2002

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DE 7081437 v1

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PTO/SB/17 (11-01)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

SEP 17 2002

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

METHOD OF PAYMENT (check all that apply)

Check Credit Card MoneyOrder Other None

Deposit Account:

Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and
Crew LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	-20**	Extra Claims		Fee from below	Fee Paid
Independent Claims	-3**				
Multiple Dependent					

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

Complete if Known	
Application Number	10/007,339
Filing Date	October 26, 2001
First Named Inventor	Peterka, Petr
Examiner Name	
Group Art Unit	2131
Attorney Docket No	018926-006520

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FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non-English specification	
147	2,520	147	For filing a request for reexamination	
112	920*	112	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	Requesting publication of SIR after Examiner action	
115	110	215	Extension for reply within first month	
116	400	216	Extension for reply within second month	
117	920	217	Extension for reply within third month	
118	1,440	218	Extension for reply within fourth month	
128	1,960	228	Extension for reply within fifth month	
119	320	219	Notice of Appeal	
120	320	220	Filing a brief in support of an appeal	
121	280	221	Request for oral hearing	
138	1,510	138	Petition to institute a public use proceeding	
140	110	240	Petition to revive - unavoidable	
141	1,280	241	Petition to revive - unintentional	
142	1,280	242	Utility issue fee (or reissue)	
143	460	243	Design issue fee	
144	620	244	Plant issue fee	
122	130	122	Petitions to the Commissioner	
123	50	123	Petitions related to provisional applications	
126	180	126	Submission of Information Disclosure Stmt	
581	40	581	Recording each patent assignment per property (times number of properties)	
146	740	246	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	Request for Continued Examination (RCE)	
169	900	169	Request for expedited examination of a design application	
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$0)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	William F. Vobach	Registration No. (Attorney/Agent)	39,411
Signature	William F. Vobach	Telephone	303-571-4000
		Date	September 11, 2002

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Washington, D.C. 20231

PATENT
Attorney Docket No.: 018926-006520US
Client Reference No.: D2568

On Sept. 11, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Kay Barclay



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Petr Peterka
Paul Moroney
Eric Sprunk
Alexander Medvinsky

Application No.: 10/007,339

Filed: October 26, 2001

For: INITIAL VIEWING PERIOD FOR
SCALABLE AUTHORIZATION OF
STREAMING MULTIMEDIA
CONTENT

Examiner:

Art Unit: 2131

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed are copies of the Search/Examination reports corresponding to this PCT application and the co-pending PCT applications. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Petr Peterka
Paul Moroney
Eric Sprunk
Alexander Medvinsky
Application No.: 10/007,339
Page 2

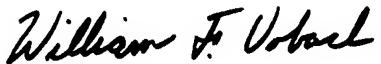
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PATENT

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



William F. Vobach
Reg. No. 39,411

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: 303-571-4000
Fax: 303-571-4321
WFV:klb

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

1

of

Complete if Known

Application Number 10/007,339

Filing Date October 26, 2001

First Named Inventor Peterka, Petr

Art Unit 2131

Examiner Name

Attorney Docket Number 018926-006520US

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Technology Center 2100

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-5,003,384	03-26-1991	Darden et al.	
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
	AM	US-			
	AN	US-			
	AO	US-			
	AP	US-			
	AQ	US-			
	AR	US-			
	AS	US-			
	AT	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴				
	AU	WO	98/43426	A1	10-01-1998	Canal Societe Anonyme	
	AV	WO	00/25517	A1	05-04-2000	Koninkluke Philips Electronics N.V.	
	AW	WO	99/07150	A1	02-11-1999	Scientific Atlanta, Inc.	
	AX	EP	0676897	A2	10-11-1995	Mitsubishi Corporation	
	AY	EP	0708561	A2	04-24-1996	General Instrument Corporation	

Examiner
Signature

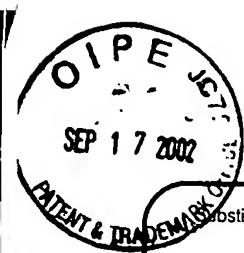
Date
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Institute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2**

of

Complete if Known

Application Number	10/007,339
Filing Date	October 26, 2001
First Named Inventor	Peterka, Petr
Art Unit	2131
Examiner Name	
Attorney Docket Number	018926-006520US

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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AZ	EP	0719045	A2	06-26-1996	Mitsubishi Corporation		
	BA							
	BB							

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

Complete if Known

Application Number	10/007,339
Filing Date	October 26, 2000
First Named Inventor	Peterka, Petr
Art Unit	2131
Examiner Name	

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BC	GUILLOU L.C. et al., Encipherment and Conditional Access, SMPTE Journal, SMPTE Inc., Scarsdale, N.Y., Vol. 103, no. 6, June 1, 1994	
	BD	TSUBAKIYAMA H. et al., Security for Information Data Broadcasting System with Conditional Access Control, Global Telecommunications Conference, 1993, including a Communications Theory Mini-Conference, Technical Program Conference Record, IEEE in Houston, Globecom '93., IEEE Houston, TX, pages 164-170, November 29, 1993	
	BE		
	BF		
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	BI		
	BJ		
	BK		
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	BM		

Examiner Signature	Date Considered
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